

Doc Code:

PTO/SB/01 (10-05)

Approved for use through 07/31/2003. OLA 0031-0032

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)))

Attorney Docket Number	51791-17 /hr
First Named Inventor	Won Zha, et al
COMPLETE IF KNOWN	
Application Number	10/380,021
Filing Date	May 19, 2006
Art Unit	Unknown
Examiner Name	Unknown

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEMS AND METHODS FOR FACILITATING INSTANT COMMUNICATIONS OVER WIRELESS NETWORKS

(Title of the Invention)

The specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) November 19, 2004 as United States Application Number or PCT International Application Number PCT/CA2004/001533 and was amended on (MM/DD/YYYY) 05/16/2005 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which becomes available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 35(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 353 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have the identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Attached foreign application numbers are listed on a supplemental priority date sheet PTO/SB/02B attached hereto.

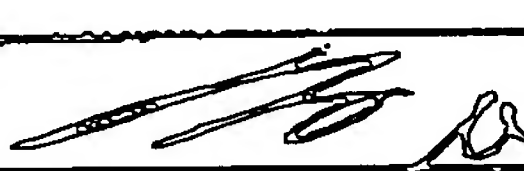
(Page 1 of 2)

This collection of information is required by 35 U.S.C. 119 and 37 CFR 1.53. The information is required to obtain or retain a benefit by the public which is the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is submitted to the 21st century to complete, including gathering, preparing, and submitting the completed application form to the USPTO. This will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send to: Communications for Patent, P.O. Box 1480, Alexandria, VA 22313-1480.

If you need assistance in completing this form, call 1-800-PTO-5189 and select option 2.

PTO/SB/01 (10-05)  
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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to:	<input checked="" type="checkbox"/> The address associated with Customs	07380	OR <input type="checkbox"/> Correspondence address below
Name			
Address			
City		State	ZIP
Country	Telephone		Email
<p><b>WARNING:</b></p> <p>Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identify them. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicant should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
WEN		ZHAO	
Inventor's Signature		Date	
		7/16/2008	
Residence City	State	Country	Citizenship
KANATA	ONTARIO	CANADA	CANADIAN
Mailing Address			
27 WYNRODGE PLACE			
City	State	ZIP	Country
KANATA	ONTARIO	K2M 2S8	CANADA
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on <u>1</u> supplemental sheet(s) PTO/SB/02A or 02LR are attached			

[Page 2 of 2]

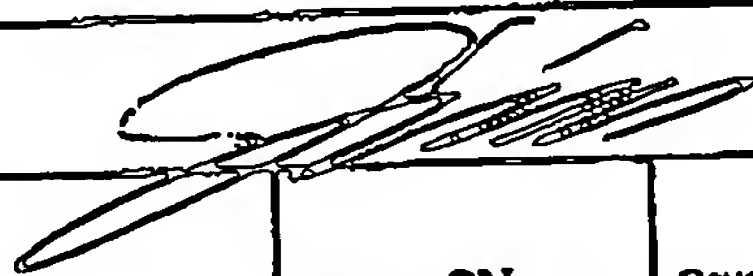


Doc Code:

PTO/SB/22A (03-04)  
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DECLARATION	ADDITIONAL INVENTOR(S)
	Supplemental Sheet

Page 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
XIN		JIN	
Inventor's Signature			Date <u>June 29, 06</u>
Residence: City	WATERLOO	State ON	Country CANADA
Citizenship CANADIAN			
Mailing Address 409-736 OLD ALBERT STREET			
City	WATERLOO	State ON	ZIP N2L 6R4
Country CANADA			
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature			Date
Residence: City		State	Country
Citizenship			
Mailing Address			
City		State	ZIP
Country			
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature			Date
Residence: City		State	Country
Citizenship			
Mailing Address			
City		State	ZIP
Country			

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-768-9199) and select option 2.

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Wen ZHAO  
Xin JIN

**2. Name and address of receiving party(ies)**

Name: Research In Motion Limited

Internal Address: \_\_\_\_\_

Street Address: 295 Phillip Street

City: Waterloo

State: Ontario

Country: Canada Zip: N2L 3W8

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) July 16, 2006 / June 29, 2006

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)  
10/580,021

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Christine N. Genge

Internal Address: Smart & Biggar

P.O. Box 2999, Station D

Street Address: 900 - 55 Metcalfe Street

City: Ottawa

State: Ontario Zip: K1P 5Y6

Phone Number: 613-232-2486

Fax Number: 613-232-8440

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_

Expiration Date \_\_\_\_\_

b. Deposit Account Number 19-2550

Authorized User Name Smart & Biggar

**9. Signature:**

  
Signature

28 February 2007

Date

Christine N. Genge

Name of Person Signing

Total number of pages including cover

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

51791-17 RAB:slr

WORLDWIDE ASSIGNMENT

WHEREAS, I/WE, (hereinafter referred to as the "ASSIGNOR(S)"):

WEN ZHAO, 27 Wynridge Place, Keston, Ontario, K2M 2S8, Canada; and  
XIN JIN, 409-736 Old Albert Street, Waterloo, Ontario, N2L 6R4, Canada

has/have invented certain new and useful improvements in an invention entitled **SYSTEMS AND METHODS FOR FACILITATING INSTANT COMMUNICATIONS OVER DISTRIBUTED CELLULAR NETWORKS** for which an application for United States Letters Patent will be filed, and as further identified by Docket No. 51791-17 and RIM Reference No. 11226-WO-PCT (US); and

WHEREAS, RESEARCH IN MOTION LIMITED, (hereinafter referred to as the "ASSIGNEE"), a corporation organized under the laws of the Province of Ontario, CANADA, having a place of business at 295 Phillip Street, Waterloo, Ontario, CANADA, N2L 3W8, is desirous of acquiring the full and exclusive right, title and interest in and to said application inclusive of any and all priority rights derived therefrom and the inventions therein disclosed, and in and to all Letters Patent, both United States and foreign, to be granted for said inventions;


NOW, THEREFORE, for a valuable consideration, the receipt whereof is hereby acknowledged, I/WE, ASSIGNOR(S) does/do hereby confirm sale, assignment, transfer, and set over, and hereby sell, assign, transfer, and set over unto the ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest in and to the aforesaid application for United States Letters Patent inclusive of any and all priority rights derived therefrom, and the inventions therein disclosed, and in and to all Letters Patent and issues thereof which may be granted upon said application and in and to all Letters Patent which may be issued upon any substitutes, divisions, or continuations of said application, and in and to any and all Letters Patent which may be granted for said inventions in any foreign country or countries; the same to be held and enjoyed by the ASSIGNEE for its own use and behoof, and for the use and behoof of its successors and assigns, to the full end of the term or terms for which said Letters Patent and reissues thereof may be granted as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made;

AND I/WE, ASSIGNOR(S) hereby agree/agrees to execute, upon request, any and all further papers which may be necessary or desirable to enable the ASSIGNEE, its successors and assigns, to file and prosecute said application, and any and all substitutes, divisions, or continuations thereof, and any and all reissues of the Letters Patent granted upon said application, or upon any substitutes, divisions, or continuations thereof, and any and all applications for foreign Letters Patent on said inventions; and ASSIGNOR(S) further agrees/agrees to execute any and all further papers which may be necessary or desirable to vest or perfect the title of ASSIGNEE, its successors and assigns, in and to said application and the inventions therein disclosed, and in and to any and all Letters Patent and reissues thereof, both United States and foreign, which may be granted upon said application, and any substitutes, divisions, or continuations thereof, and upon any foreign applications.

AND I/WE, ASSIGNOR(S) hereby authorizes/authorize and requests/request The Commissioner of Patents to issue each and every Letters Patent to be granted upon the aforesaid application for United States Letters Patent, and upon any and all substitutes, divisions, and continuations of said application, and each and every reissue of said Letters Patent, to the ASSIGNEE, its successors and assigns, as the assignee of the entire right, title and interest therein, in accordance with this assignment.

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

Date: 7/16/2006

  
\_\_\_\_\_  
WEN ZHAO  
27 Wynridge Place  
Kanata, Ontario  
K2M 2S8, Canada

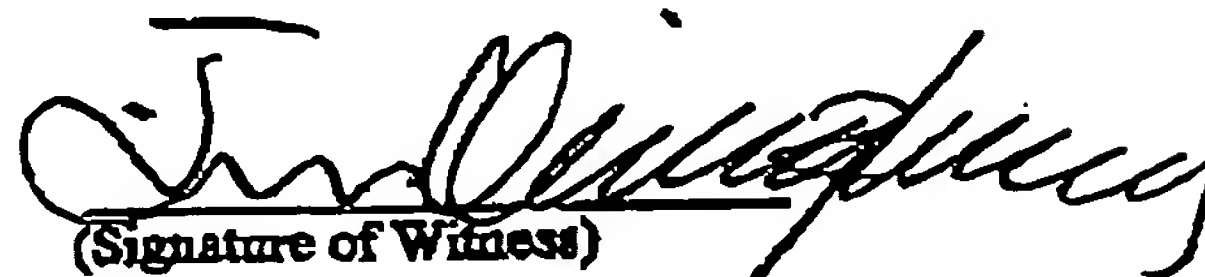
STATEMENT BY WITNESS

I, Qingzhong Jiao, whose full Post Office address is

37 Oberon St, Nepean, ON, K2H 7X6  
(Address of Witness)


hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: July 16, 2006

  
(Signature of Witness)



Date: June 29, 06

  
XIN JIN  
409-736 Old Albert Street  
Waterloo, Ontario  
N2L 6R4, Canada

STATEMENT BY WITNESS

I, Wen Chan, whose full Post Office address is

38 Edgemoor Trail Thornhill Ont. Canada  
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: June 29 06

  
(Signature of Witness)

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby appoint:

☒ Practitioners associated with the Customer Number:

07380

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

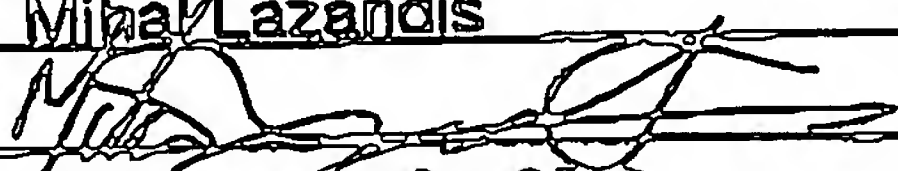
Assignee Name and Address:

Research In Motion Limited  
295 Phillip Street  
Waterloo, Ontario, Canada N2L 3W8

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Mihail Lazanidis	Date	20 Feb 2004
Signature		Telephone	519-888-7465
Title	President & Co-CEO		

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the submission of an application to the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-8188 and select option 2.



Doc Code:

PTO/SB/96 (12-05)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Wen ZHAO

Application No./Patent No./Control No.: 10/580,021 Filed/Issue Date: Int'l Date: 11/19/2004

Entitled: **SYSTEMS AND METHOD FOR FACILITATING INSTANT COMMUNICATIONS OVER  
DISTRIBUTED CELLULAR NETWORKS**

Research In Motion Limited, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United Patent States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

Christine N. Genge

Printed or Typed Name

Registered Agent (45,405)

Title

28 February 2007

Date

613-232-2486

Telephone number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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